

2008 MAR 18 AM 9:44

Docket No.: 1152-0310PUS1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Michiyuki SUGINO

Application No.: 10/510,564

Confirmation No.: 9017

Filed: October 8, 2004

Art Unit: N/A

For: LIQUID CRYSTAL DISPLAY

Examiner: Not Yet Assigned

**REQUEST FOR REFUND**  
**(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

MS 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account 02-2448 shown on the statement for the month of October 2007 for the above-identified

☒ application ☐ patent

☐ A copy of the monthly statement in which the error referred to occurs, accompanies this request.

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II. FEES CHARGED FOR WHICH REFUND REQUESTED

	AMOUNT OF REFUND <u>REQUESTED</u>
<input type="checkbox"/> filing fee	_____
<input type="checkbox"/> search fee	_____
<input type="checkbox"/> examination fee	_____
<input type="checkbox"/> surcharge for filing the basic filing on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
and/or	
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
<input type="checkbox"/> extension of term	
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> excess claims	_____
<input type="checkbox"/> issue fee	_____
<input type="checkbox"/> petition fee	_____

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<input type="checkbox"/>	patent maintenance fee	_____
<input type="checkbox"/>	first maintenance fee	_____
<input type="checkbox"/>	second maintenance fee	_____
<input type="checkbox"/>	third maintenance fee	_____
<input type="checkbox"/>	patent maintenance fee surcharge	_____
<input checked="" type="checkbox"/>	Other: IDS Fee (1806)	<u>180.00</u>
		_____
		_____

**TOTAL REFUND REQUESTED** 180.00

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

The PTO erroneously charged the \$180.00 IDS Fee (1806) on October 9, 2007 for the Letter filed on September 17, 2007. However, the Letter filed on September 17, 2007 was not an Information Disclosure Statement. It was a Letter providing copies of the references that were listed the International Search Report and that were cited in the Information Disclosure Statement filed on October 8, 2004, but which were not transmitted to the USPTO by the International Bureau. Therefore, as the September 17, 2007 Letter was not an Information Disclosure Statement, the IDS fee of \$180.00 charged on October 9, 2007 should be refunded.

**IV. MANNER OF REFUND**

Please make refund by crediting Account No. 02-2448.

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We respectfully request that the attached copy of this letter be returned to us with an indication that the credit has been processed.

Dated: March 17, 2008

Respectfully submitted,

By

  
Terrell C. Birch

Registration No.: 19,382 #12927

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**INFORMATION DISCLOSURE STATEMENT**  
**(SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL**  
**REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE**  
**1.114 RCE APPLICATION)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II. COPIES

☒ a. Copies of cited U.S. patents and patent application publications are not included.  
Copies of foreign patent documents and non-patent literature are included. 18/22/2007 CNGUYEN2 00000063 022448 18510564  
01 FC:1806 180.00 DA

☐ b. Some or all of the documents listed on the PTO-SB08 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

Birch, Stewart, Kolasch & Birch, LLP

TCB/EJW/wmm

Adjustment date: 03/18/2008 SDIRETA1  
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01 FC:1806 180.00 CR